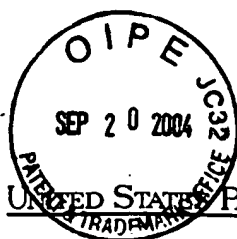


IFW



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UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/729,428	12/05/2003	Steven Eckroad	A-66958/RMA(465070-1172)

32940
 DORSEY & WHITNEY LLP
 INTELLECTUAL PROPERTY DEPARTMENT
 4 EMBARCADERO CENTER
 SUITE 3400
 SAN FRANCISCO, CA 94111

CONFIRMATION NO. 8412
 FORMALITIES LETTER



OC000000013317147

Date Mailed: 07/23/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Steven Eckroad, Martin Hilscher, Hans-Christian Dohr

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

FIN
 Customer Service Center

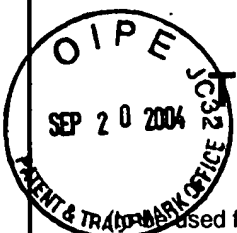
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/21/2004 SSITHIB1 00000013 10729428

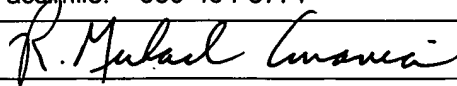
01 FC:1051

130.00 OP

 <h1 style="text-align: center;">TRANSMITTAL FORM</h1> <p style="text-align: center;">(used for all correspondence after initial filing)</p>		Application No.	10/729,428
		Filing Date	December 5, 2003
		First Named Inventor	Steven Eckroad
		Examiner Name	Unknown
		Group Art Unit	2838
Total Number of Pages in This Submission	16	Attorney Docket No.	A-69958/RMA (465070-1172)

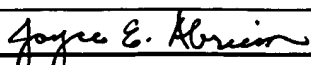
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Executed Declaration and Power of Attorney, signed in-counterpart (12 pgs.), copy of Notice to File Missing Parts mailed July 23, 2004 (1 pgs.), check in the amount of \$130.00, and self-addressed stamped Postcard.
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, No. of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.53(b) (1 pg.)		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

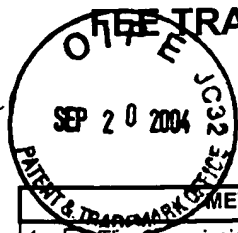
Firm or Individual name	R. Michael Ananián, Esq., Reg. No. 35,050 DORSEY & WHITNEY LLP 4 Embarcadero Center, Suite 3400 San Francisco, CA 94111 Telephone: 650-494-8700 Facsimile: 650-494-8771	Customer Number 32940
Signature		
Date	September 13, 2004	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with Sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: _____

Typed or printed name	Joyce E. Abriam		
Signature		Date	September 13, 2004

APPLICATION FEE TRANSMITTAL SHEET (FY 2004)



Complete if Known

Application No.	10/729,428
Filing Date	December 5, 2003
First Named Inventor	Steven Eckroad
Group Art Unit	2838
Examiner Name	Unknown
Atty. Docket Number	A-69958/RMA (465070-1172)

METHOD OF PAYMENT (Check One)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: 50-2319

Deposit Account Name: DORSEY & WHITNEY LLP

☒ Charge any additional fees or credit any over payments to required under 37 C.F.R. 1.16 and 1.17 to:

Deposit Account No.: 50-2319

Deposit Account Name: DORSEY & WHITNEY LLP

☐ Applicant claims small entity status (see 37 C.F.R. 1.27)

2. ☒ Check Enclosed in the amount of \$130.00

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Fee Description
160	80	<input type="checkbox"/> Provisional Filing Fee
770	385	<input type="checkbox"/> Utility Filing Fee
340	170	<input type="checkbox"/> Design Filing Fee
770	385	<input type="checkbox"/> Reissue Filing Fee
Subtotal (1)		

2. EXTRA* CLAIM FEES

	Number Claims	Prior	Extra	Fee from Below*	Fee Paid
Total		20	=	x \$9.00	= \$
Indep.		3	=	x \$43.00	= \$
Multiple Dependent Claims			=	x \$145.00	= \$
Subtotal (2)					

***Calculation of Extra Claim Fees**

Large Entity Fee	Small Entity Fee	Fee Description
18	9	Claims in excess of 20
86	43	Independent claims in excess of 3
290	145	Multiple dependent Claim
84	42	Reissue independent claims over original patent
18	9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
50	25	Surcharge - late provisional filing fee or cover sheet	
130	65	Surcharge - Late non-provisional filing fee or oath	\$130.00
180	180	Submission of IDS	
40	40	Recording each patent assignment per property (times number of properties)	
110	55	Extension for reply within first month	
420	210	Extension for reply within second month	
950	475	Extension for reply within third month	
1,480	740	Extension for reply within fourth month	
2010	1005	Extension for reply within fifth month	
770	385	Submission After Final 1.129	
330	165	Notice of Appeal	
330	165	Filing a brief in support of an appeal	
290	145	Request for oral hearing	
110	55	Terminal Disclaimer Fee	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
1,330	665	Utility/Reissue Issue Fee (including advance copies)	
480	240	Design Issue Fee (inc. advance copies)	
770	385	Request for Continued Examination (RCE)	
300	300	Publication fee for early, voluntary, or normal publication	
300	300	Publication fee for re-publication	
110	55	Petition to Revive - unavoidable	
1,330	665	Petition to Revive - unintentional	
OTHER FEE (specify):			
Subtotal (3)			\$130.00
Total Amount of Payment:			\$130.00

Submitted by:

Name: R. Michael Ananian, Reg. No.: 35,050	Telephone: (650) 494-8700
DORSEY & WHITNEY LLP	Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187
Signature: <i>R. Michael Ananian</i>	Customer Number 32940
Date: September 13, 2004	



PATENT

Attorney Docket No. A-69958/RMA (465070-1172)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ECKROAD et al. Serial No.: 10/729,428 Filing Date: December 5, 2003 For: <i>Integrated Closed Loop Control Method and Apparatus for Combined Uninterruptable Power Supply and Generator System</i>	Examiner: Unknown Art Unit: 2838 CERTIFICATE OF MAILING I hereby certify that this correspondence and its listed enclosures is being deposited with sufficient postage with the United States Postal Service as First Class Mail addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 13, 2004. Signed <u>Joyce E. Abriam</u> Joyce E. Abriam
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RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
FILED UNDER 37 C.F.R. 1.53(b)

Mail Stop Missing Parts
Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, Filed Under 37 C.F.R. 1.53(b), mailed July 23, 2004, enclosed are the following documents:

- 1) Transmittal (1 pg.);
- 2) Fee Transmittal (1 pg.);
- 3) Copy of Notice to File Missing Parts mailed July 23, 2004 (1 pg.);
- 4) Check for \$130 (late declaration surcharge);
- 5) Executed Declaration and Power of Attorney for Patent Application (37 C.F.R. § 1.63), signed in counter-part (12 pgs.);
- 6) Return Postcard.

The Commissioner is hereby authorized to charge any additional fees, including extension fees, to Deposit Account 50-2319 [Order No. 468940-1172 (A-69958/RMA)].

Respectfully submitted,

DORSEY & WHITNEY LLP

By R. Michael Ananian

R. Michael Ananian, Reg. No. 35,050
Attorney for Applicants

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Customer No.: 32940